

10/635108

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PATENT

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10/635108

IN THE UNITED STATES RECEIVING OFFICE

Applicant: Scaringe  
Serial No.: PCT/US03/24658  
Filed: 5 August 2003  
For: Short Interfering RNAs Having a Hairpin Structure Containing a Non-Nucleotide Loop

Examiner: To be Assigned  
Group Art Unit: To be Assigned

Customer No.: 23719

Kalow & Springut LLP  
488 Madison Avenue, 19th Floor  
New York, New York 10022

April 27, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
AND STATEMENT UNDER 37 CFR 1.97(e)**

Sir:

Applicant submits herewith the following disclosures in accordance with the provisions of 37 CFR 1.97 and 1.98. Applicant states that each item of information contained in the information disclosure statement was first cited in a communication from the USPTO as Receiving Office under the Patent Cooperation Treaty (PCT) in a counterpart application not more than three months prior to the filing of this supplemental information disclosure statement. Accordingly, Applicants submit that no fee is due in connection with the filing of this supplemental information disclosure statement.

Certificate of Mailing Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Viola Hyland  
(Signature)

Viola Hyland  
(Printed Name of Person Signing Certificate)

April 27, 2004  
(Date)

### **I. U.S. PATENT DOCUMENTS**

<b>Patent No.</b>	<b>Issue Date</b>	<b>Title</b>
6,429,300 B1 to Kurz et al.	Aug. 6, 2002	Peptide Acceptor Ligation Methods
6,143,901 to Dervan	Nov. 7, 2000	Complex Formation Between DSDNA and Pyrrole Imidazole Polyamides

<b>Publication No.</b>	<b>Pub. Date</b>	<b>Title</b>
US2002/0182687 A1	Dec. 5, 2002	Peptide Acceptor Ligation Methods

### **OTHER DOCUMENT**

International Search Report dated 20 April 2004.

The items listed above are identified on Form PTO 1449, which is submitted with this statement. A copy of the International Search Report is also submitted with this statement.

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The attorneys for the Applicants take no position on whether or not the item cited above and listed on Form PTO 1449 constitutes prior art against the subject application under any particular provision of Title 35 of the United States Code.

Applicants submit that, under 37 CFR 1.97(e), no fee is due in connection with the filing of this supplemental information disclosure statement. If any additional fees are deemed due or any overpayment has been made, please charge, or credit, our Deposit Account no. 11-0171 for such sum.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Tor Smeland', written over a horizontal line.

Tor Smeland, Esq.  
Registration No.: 43,131  
Attorney for Applicant

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(212) 813-1600

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	PCT/US03/24658
Filing Date	05 August 2003
First Named Inventor	Scaringe
Art Unit	To be Assigned
Examiner Name	To be Assigned
Attorney Docket Number	13561

Sheet	1	of	2
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	PCT/US03/24658
		Filing Date	05 August 2003
		First Named Inventor	Scaringe
		Art Unit	To be Assigned
		Examiner Name	To be Assigned
Sheet 2	of 2	Attorney Docket Number	13561PCTUS

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	International Search Report dated 20 April 2004	

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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